

Rec'd PCT/PTO 28 DEC 2001

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. § 371		Attorney's Docket Number 041463-5030
International Application No.	International Filing Date	U.S. Application No. Unassigned
PCT/CH00/00279	May 19, 2000	Priority Date Claimed June 29, 1999

Title of Invention: **APPARATUS FOR GENERATING ELECTRICAL ENERGY**

Applicant For EO/EO/US: Othmar BRUHWILER

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. § 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. § 371.
3. ☐ This express request to begin national examination procedures (35 U.S.C. § 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. § 371(b) and PCT Articles 22 and 39(1).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. § 371(c)(2))
 - a. ☒ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ has been transmitted by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ A translation of the International Application into English (35 U.S.C. § 371(c)(2)).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. § 371(c)(3)).
 - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☒ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. § 371(c)(3)).
9. ☐ An oath or declaration of the inventors (35 U.S.C. § 371(c)(4)).
10. ☒ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. § 371(c)(5)).

Items 11. to 14. below concern other document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 C.F.R. § 1.97 and § 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. § 3.28 and § 3.31 is included.
13. ☒ A **FIRST** preliminary amendment.
14. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
14. ☒ Other items or information:

10019234.040202

U.S. APPLICATION NO. [] INTERNATIONAL APPLICATION NO. [] ATTORNEY DOCKET NUMBER []
 Unassigned PCT/CH00/00279 041463-5030

15. ☒ The following fees are submitted:
Basic National Fee (37 C.F.R. § 1.492(a)(1)-(5)):
 Search Report has been prepared by the EPO or JPO.....\$890.00
 International preliminary examination fee paid to
 USPTO (37 C.F.R. § 1.482).....\$710.00
 No international preliminary examination fee paid to
 USPTO (37 C.F.R. § 1.482) but international search fee
 paid to USPTO (37 C.F.R. § 1.445(a)(2)).....\$740.00
 Neither international preliminary examination fee
 (37 C.F.R. § 1.482) nor international search fee
 (37 C.F.R. § 1.445(a)(2)) paid to USPTO.....\$1,040.00
 International preliminary examination fee paid to USPTO
 (37 C.F.R. § 1.482) and all claims satisfied provisions
 of PCT Article 33(2)-(4).....\$100.00

ENTER APPROPRIATE BASIC FEE AMOUNT = \$890.00

Surcharge of \$130.00 for furnishing the oath or declaration later than

☐ 20 ☒ 30 months from the earliest claimed priority date

(37 C.F.R. § 1.492(e)).....\$

Claims	Number Filed	Number Extra	Rate	
Total Claims	13 - 20 =		X \$18.00	\$
Independent Claims	1 - 3 =		X \$84.00	\$
Multiple dependent claim(s) (if applicable)			+ \$280.00	\$ 280.00

TOTAL OF ABOVE CALCULATIONS ~~\$ 890.00~~ \$ 1170.00

Reduction by 1/2 for filing by small entity, if applicable.

Verified Small Entity statement must also be filed. (Note 37 C.F.R. §§ 1.9, 1.27, 1.28)

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SUBTOTAL = \$ 1170.00

Processing fee of \$130.00 for furnishing the English translation later

than ☐ 20 ☐ 30 months from the earliest claimed priority date (37 C.F.R. § 1.492(f)).

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TOTAL NATIONAL FEE = \$ 1170.00

Fee for recording the enclosed assignment (37 C.F.R. § 1.21(h)).

The Assignment must be accompanied by an appropriate cover sheet

(37 C.F.R. §§ 3.28, 3.31). \$40.00 per property


\$

TOTAL FEES ENCLOSED = \$ 1170.00

Amount to be refunded \$

Amount to be charged \$

- a. ☒ A checks in the amounts of \$890.00 and \$280.00 to cover the above fees are enclosed.
 b. ☐ Please charge my Deposit Account No. 50-0310 in the amount of \$-0-
 to cover the above fees. A duplicate copy of this sheet is enclosed.
 c. ☒ **Except** for issue fees payable under 37 C.F.R. § 1.18, the Commissioner is hereby authorized
 by this paper to charge any additional fees during the entire pendency of this application
 including fees due under 37 C.F.R. § 1.16 and § 1.17 which may be required, or credit any
 overpayment to Deposit Account No. 50-0310.


 Robert J. Goodell
 Reg. No. 41,040

December 28, 2001

Customer No. 09629

SEND ALL CORRESPONDENCE TO:

Morgan, Lewis & Bockius LLP

1800 M Street, N.W.

Washington, D.C. 20036

Telephone: (202) 467-7000

Facsimile: (201) 467-7176

PATENT APPLICATION SERIAL NO.

10/019234

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE
FEE RECORD SHEET

01/07/2002 SHAWARD 00000031 10019234

01 FC:970

890.00 DP

02 FC:968

280.00 DP

PTO-1556
(5/87)

*U.S. GPO: 2000-468-987/39595